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SEP 0 2 2005

Examiner: Derek L. Dupuls

Group Art Unit: 2883

Confirmation No.: 9037

From:

Leopold Presser, Esq.

Fax: 571-273-8300

Pages:

16 (Including fax cover sheet)

Phone:

Date:

September 2, 2005

Re:

To:

Applicants: Eric A. Johnson, et al. U.S. Patent Application No. 10/692,921

IBM Ref: END920010133US1

Our Docket: 15171

CC

AMENDMENT UNDER 37 C.F.R. §1.111

TRANSMITTED HEREWITH:

- Amendment Transmittal Letter (in duplicate);
- Amendment under 37 C.F.R. §1.111;
- 3. Authorization to Charge IBM Deposit Account No. 09-0457/IBM, if necessary; and
- 4. Certificate of Facsimile Transmission, dated September 2, 2005 (in duplicate).

FOR:

Applicant: Eric A. Johnson, et al. U.S. Patent Application No. 10/692,921

Filed: October 24, 2003 IBM Ref: END920010133US1

Our Docket: 15171

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CERTIFICATE OF Applicant(s): Eric A. Jol	Docket No. END920010133US1 (15171)						
Application No. 10/692,921	Filing Date Ocotober 24, 2003	Examiner Derek L. Dupuis		Group Art Unit 2883			
Invention: PASSIVE ALIGNMENT (BOARDS	OF VSCELs TO WAVEGUIDES	IN OPTO-ELECTRONIC CA	ARDS A	AND PRINTED CIRCUIT			
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I hereby certify that this AMENDMENT UNDER 37 C.F.R. §1.111 (Identify type of correspondence) Is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (571) 273-8300							
on September (Date)		and trademain Onice (i ax.	140. <u>(s</u>	11) 213-0300			
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AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Eric A. Johnson, et al.				Docket No. END920010133US1 (15171)			
Application No.	Filing Date	Examiner ·		Customer No.		Group Art Unit	Confirmation No.
10/692,921	October 24, 2003	Derek L. Dupuis	23389		2883	9037	
Invention: PASSIVE ALIGNMENT OF VSCEL® TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS							
	COMMISSIONER FOR PATENTS:						
		n the above-identified a mitted as shown below	•	on.			
		CLAIMS AS AM	ENDED)			
	CLAIMS REMAINING	HIGHEST#	NUMBE	R EXTRA		DATE	ADDITIONAL
	AFTER AMENDMENT	PREV. PAID FOR	CLAIMS	PRESENT		RATE	FEE
TOTAL CLAIMS	19 -	20 =		0	×	\$50.00	\$0.00
INDEP. CLAIMS	3 -	3 =		0	x	\$200.00	\$0.00
Multiple Dependent Claims (check if applicable)							\$0.00
		TOTAL ADDITIONAL I	FEE FO	R THIS AME	ND	MENT	\$0.00
No additional fee is required for amendment. Please charge Deposit Account No. in the amount of A check in the amount of to cover the filing fee is enclosed. The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0457/IBM Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
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cc: LP:jy			Typed or Printed Name of Person Mailing Correspondence				

CERTIFICATE OF Applicant(s): Eric A. Jo	Docket No. END920010133US1 (15171)		
Application No. 10/692,921	Filing Date Ocotober 24, 2003	Examiner Derek L. Dupuis	Group Art Unit 2883
Invention: PASSIVE ALIGNMENT (BOARDS	OF VSCELS TO WAVEGUIDES	IN OPTO-ELECTRONIC CA	ARDS AND PRINTED CIRCUIT
I hereby certify that this	AMEN	NDMENT UNDER 37 C.F.R. §	1.111
	nitted to the United States Patent	(Identify type of correspondence)	No. (571) 273-8300
		and Trademark Office (Feet.	(0.2) 2.0 000
on September (Date)	2, 2005	•	
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AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Eric A. Johnson, et al.				Docket No. END920010133US1 (15171)			
Application No. 10/692,921	Filing Date October 24, 2003	Examiner Derek L. Dupuis		Customer l 23389	No.	Group Art Unit 2883	Confirmation No. 9037
Invention: PASSIVE ALIGNMENT OF VSCELS TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS							
COMMISSIONER FOR PATENTS: Transmitted herewith is an amendment in the above-identified application.							
The fee has been o	calculated and is trans	mitted as shown below					
		CLAIMS AS AM	ENDEC	<u> </u>			
	CLAIMS REMAINING	HIGHEST#	NUMBI	ER EXTRA		RATE	ADDITIONAL
	AFTER AMENDMENT	PREV. PAID FOR	CLAIMS	PRESENT	├-	050.00	FEE SO OO
TOTAL CLAIMS	19 -	20 =		0	X	\$50.00	\$0.00 \$0.00
INDEP. CLAIMS	3 -	3 =	<u></u>	0	X	\$200.00	\$0.00
Multiple Dependent Claims (check if applicable)							
No additional fee is required for amendment. Please charge Deposit Account No. In the amount of A check in the amount of to cover the filing fee is enclosed. The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0457/IBM Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Leopold Presser Registration No. 19,827 Negreby certify that this correspondence is being deposited with the Divised States Postal Service with sufficient postage as first class mail linear envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8[a]] on Garden City, New York 11530 (Date) (Date)							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Eric A. Johnson et al.

Examiner:

Derek L. Dupuis

Serial No:

10/692,921

Art Unit:

2883

Filed:

October 24, 2003

Docket:

END920010133US1 (15171)

For:

PASSIVE ALIGNMENT OF

Dated:

September 2, 2005

VSCELS TO WAVEGUIDES IN **OPTO-ELECTRONIC CARDS**

AND PRINTED CIRCUIT BOARDS

Confirmation No.: 9037

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. §1.111

Sir:

In response to the Office Action of June 14, 2005, applicants respectfully request that the following amendments be entered into this application:

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile/transmitted to the Patent and

eopold Presser

Trademark Office on the date shown below.

Dated: September 2, 2005

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